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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: Kenji SHIMOMYAMA, et al.

Serial No.: 09/511,188

Filed: February 23, 2000



ATTN: BOX MISSING PARTS

Group Art Unit: 3662

FOR: SEMICONDUCTOR OPTICAL DEVICE APPARATUS

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Director of Patents and Trademarks  
Washington, D.C. 20231

June 21, 2000

Dear Sir:

In response to the Notice to File Missing Parts of Application dated May 1, 2000, enclosed for filing is an executed Declaration and Power of Attorney and (3) Certified Priority Documents for the above-identified patent application.

Also enclosed is our check in the amount of **\$1,414.00**, for the government filing fee of **\$690.00**, **\$594.00** for extra claims, and the surcharge fee of **\$130.00** for filing the late Declaration. In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI  
McLELAND & NAUGHTON

William G. Kratz, Jr.  
Attorney for Applicants  
Reg. No. 22,631

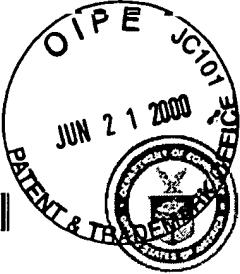
Atty. Docket No. 000202  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930  
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#3

## FORMALITIES LETTER



\*OC00000005086532\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/511,188	02/23/2000	Kenji Shimoyama	000202

Armstrong Westerman Hattori McLeland & Naughton  
1725 K Street N W Suite 1000  
Washington, DC 20006

Date Mailed: 05/01/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$594.  
■ \$594 for 33 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1414.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

## PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/22/2000 EHAMMOND 00000040 09511188

01 FC:101	690.00 OP
02 FC:103	594.00 OP
03 FC:105	130.00 OP